



# **FY 2019 Homeland Security Preparedness Grant Programs Rollout Briefing**



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**Washington, DC  
April, 2019**

# Grant Program Funding Summary

Grant Program	FY 2018 Funding	FY 2019 Funding	Delta (\$)
State Homeland Security Program (SHSP)	\$402,000,000	\$415,000,000	\$13,000,000
Urban Area Security Initiative (UASI)	\$580,000,000	\$590,000,000	\$10,000,000
Operation Stonegarden (OPSG)	\$85,000,000	\$90,000,000	\$5,000,000
Nonprofit Security Grant Program: UASI (NSGP-U)	\$50,000,000	\$50,000,000	\$0
Nonprofit Security Grant Program: State (NSGP-S)	\$10,000,000	\$10,000,000	\$0
Tribal Homeland Security Grant Program (THSGP)	\$10,000,000	\$10,000,000	\$0
Emergency Management Performance Grant (EMPG) Program	\$350,100,000	\$350,100,000	\$0
Port Security Grant Program (PSGP)	\$100,000,000	\$100,000,000	\$0
Transit Security Grant Program (TSGP)	\$88,000,000	\$88,000,000	\$0
Intercity Passenger Rail (IPR)	\$10,000,000	\$10,000,000	\$0
Intercity Bus Security Grant Program (IBSGP)	\$2,000,000	\$2,000,000	\$0
<b>Total</b>	<b>\$1,687,100,000</b>	<b>\$1,715,100,000</b>	

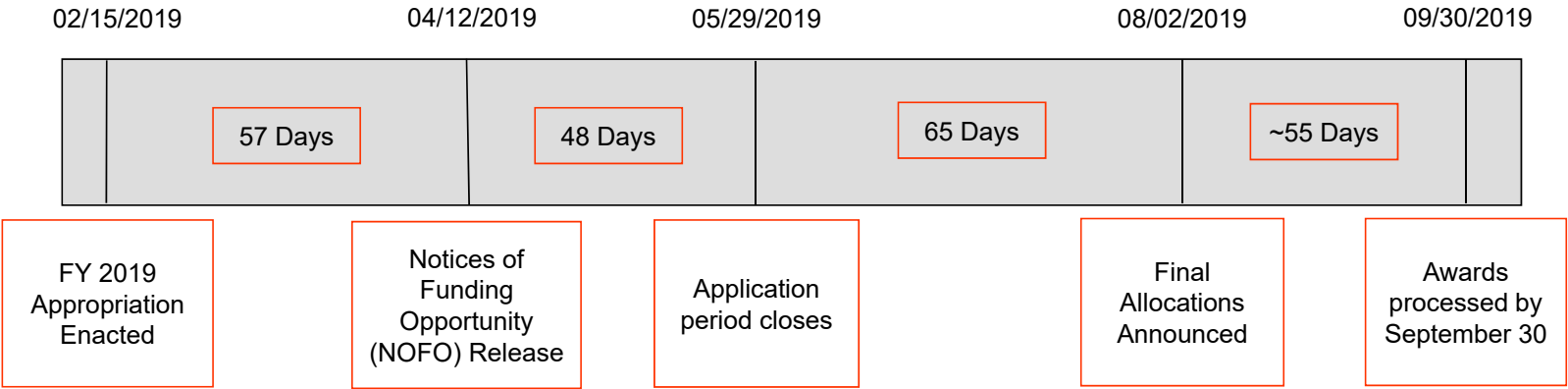


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## Major FY 2018 Highlights

- The *Department of Homeland Security Appropriations Act, 2019* provides over \$1.7 billion in preparedness grant funding.
- Funding for SHSP increased by \$13 million to \$415 million.
- Funding for UASI increased by \$10 million to \$590 million.
- Funding for Operation Stonegarden increased from \$85 million to \$90 million.
- Due to the funding increases for SHSP, UASI, and OPSG, the statutory minimum allocations under the State Homeland Security Program (SHSP) are:
  - \$4,077,500 (+\$325,500 compared to FY 2018) for the 50 states, D.C. and Puerto Rico.
  - \$932,000 (+\$22,000 compared to FY 2018) for the four other territories.
- Thirty-one (31) high-risk urban areas will be funded under the Urban Area Security Initiative for FY 2019 based on Congressional direction that DHS limit funding to jurisdictions that comprise 85% of the total nationwide risk of terrorism. 32 urban areas were funded in FY 2018.
- Key program changes (see individual program slides)

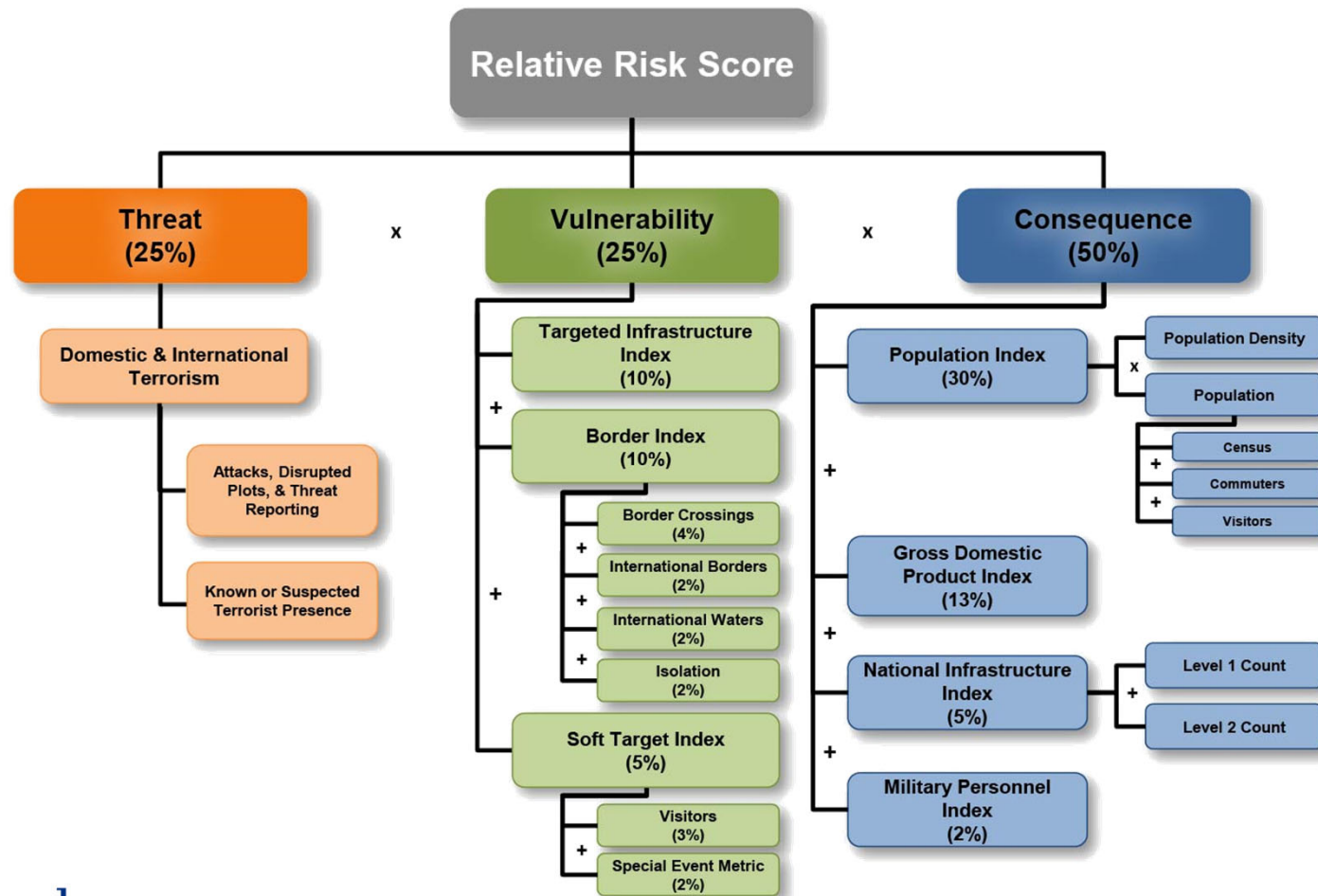
# Projected FY 2019 Grant Timeline



# FY 2019 Risk Methodology

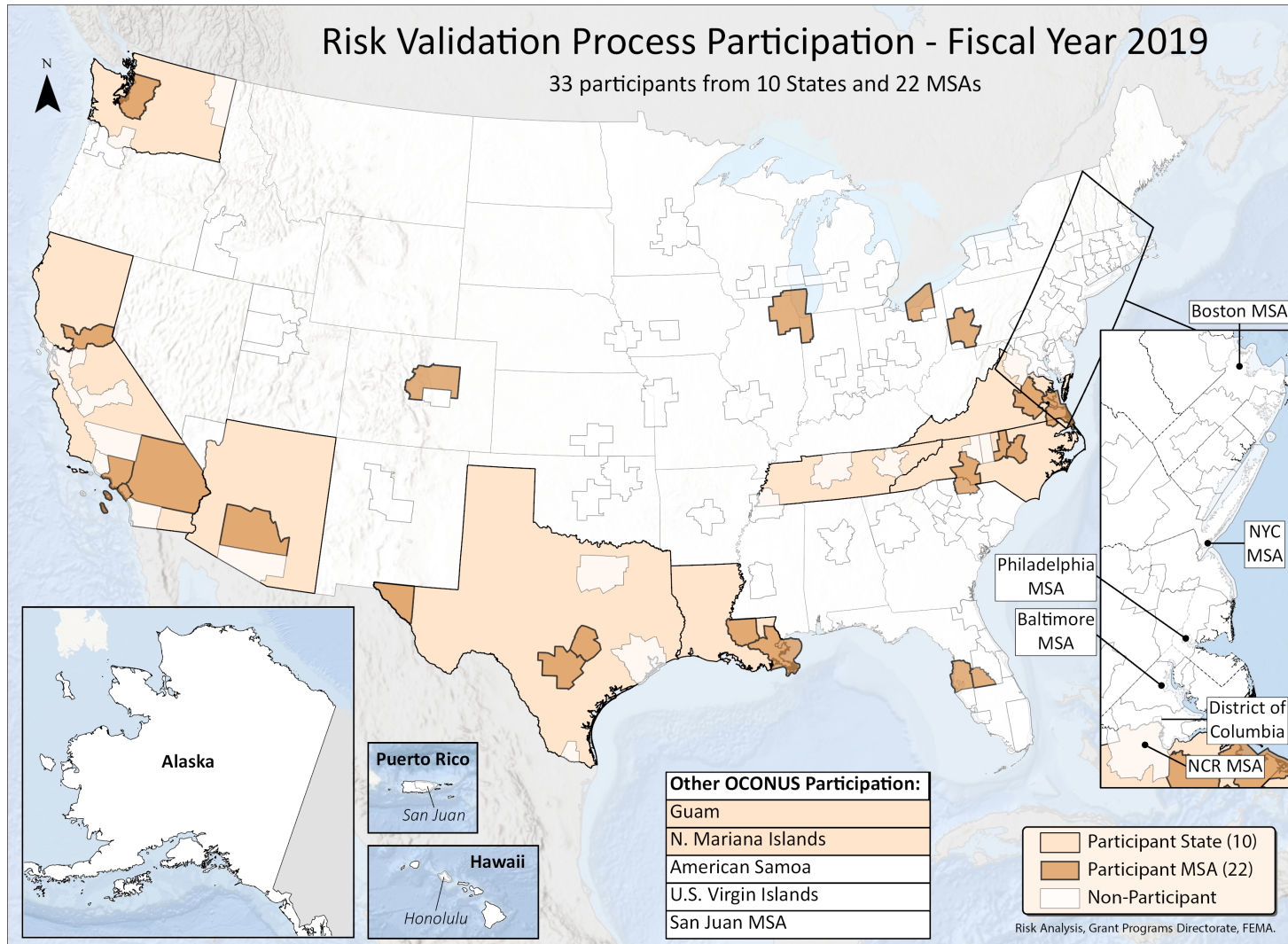
- FEMA uses a comprehensive risk methodology to determine the eligibility and target allocations for SHSP and UASI. It focuses on three key elements:
  - *Threat*: Likelihood of an attack being attempted by an adversary;
  - *Vulnerability*: Likelihood that an attack is successful; and
  - *Consequence*: Effect of an event, incident, or occurrence
- The risk validation process for SHSP and UASI, which is required by law, was conducted for FY 2019 awards in February-March
  - FEMA sent preliminary risk profiles to all 56 states and territories, and the 100 most populous metropolitan statistical areas.
  - FEMA conducted three webinars to provide training on the risk validation process.
- FEMA uses a similar methodology to determine risk associated with the Port Security, Transit Security, and Operation Stonegarden programs

# FY 2019 SHSP & UASI Risk Assessment



# Risk Validation Process Participation - Fiscal Year 2019

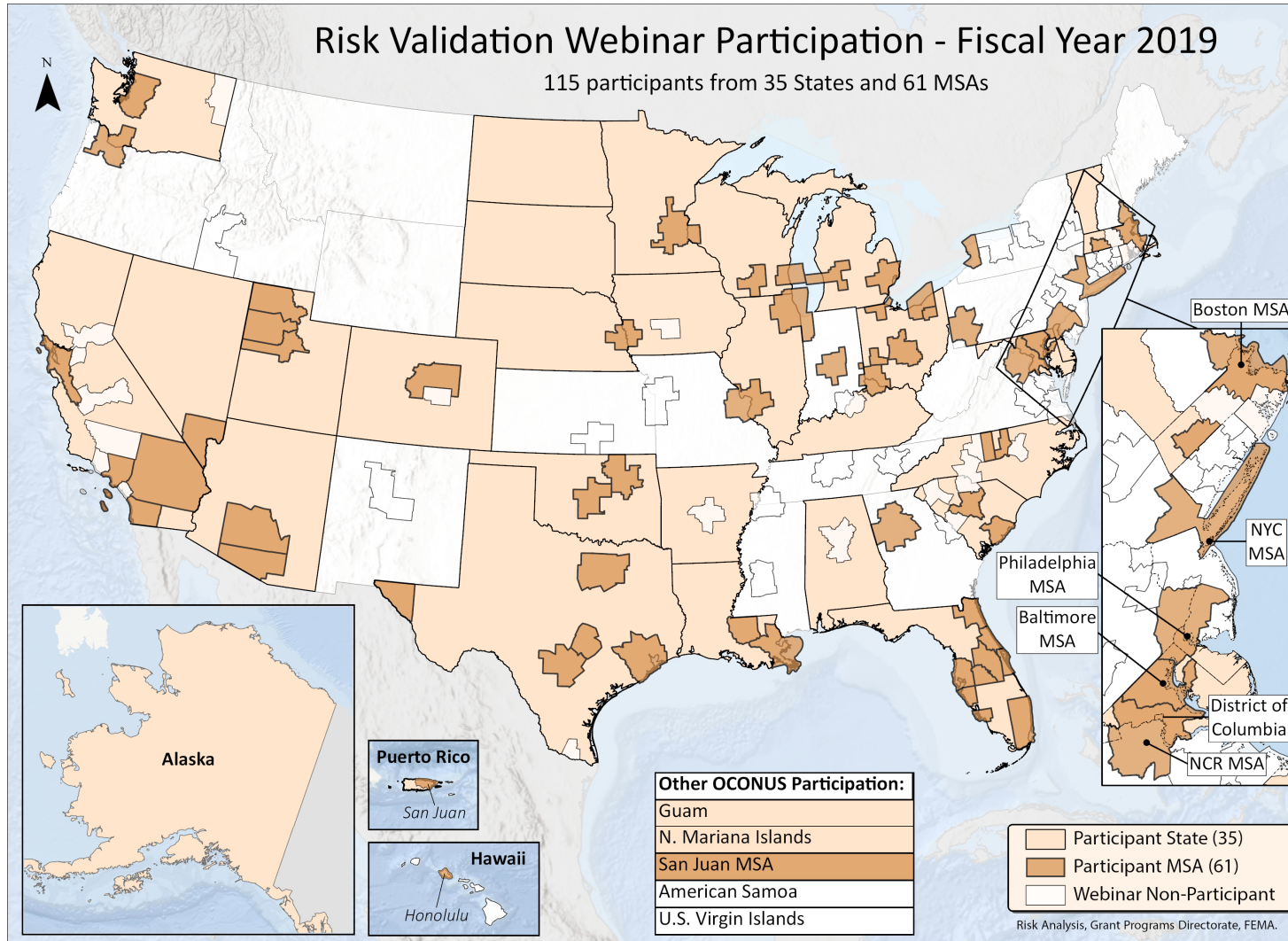
33 participants from 10 States and 22 MSAs



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# Risk Validation Webinar Participation - Fiscal Year 2019

115 participants from 35 States and 61 MSAs



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# FY 2019 State Homeland Security Program

Program Overview	FY 2018	FY 2019
<ul style="list-style-type: none"> <li>▪ <b>Purpose:</b> The State Homeland Security Program supports state, tribal, territorial, and local preparedness activities that address high priority preparedness gaps across all core capabilities that support terrorism preparedness.</li> <li>▪ <b>Eligibility:</b> The State Administrative Agency is the only entity eligible to apply for and administer FY 2019 Homeland Security Grant Program funds.</li> </ul>	\$402,000,000	\$415,000,000
Program Highlights		
<p><b>Cybersecurity</b></p> <ul style="list-style-type: none"> <li>▪ SHSP recipients are required to include an investment justification (IJ) that focuses on cybersecurity projects.</li> <li>▪ Recipients and subrecipients of FY 2019 grant awards will be required to complete the 2019 Nationwide Cybersecurity Review (NCSR), enabling agencies to benchmark and measure progress of improving their cybersecurity posture.</li> </ul> <p><b>Threat and Hazard Identification and Risk Assessment (THIRA)/Stakeholder Preparedness Review (SPR)</b></p> <ul style="list-style-type: none"> <li>▪ In 2019, recipients will be required to address all 32 core capabilities across all five mission areas (Prevention, Protection, Mitigation, Response, and Recovery) in their THIRA/SPR. Recipients will be required to submit a THIRA only once every three years, but the SPR will remain an annual requirement.</li> </ul>		



# FY 2019 SHSP Allocations

State/Territory	FY 2019 Allocation	State/Territory	FY 2019 Allocation
Alabama	\$4,077,500	Montana	\$4,077,500
Alaska	\$4,077,500	Nebraska	\$4,077,500
American Samoa	\$1,000,000	Nevada	\$4,077,500
Arizona	\$4,077,500	New Hampshire	\$4,077,500
Arkansas	\$4,077,500	New Jersey	\$8,000,000
California	\$62,011,000	New Mexico	\$4,077,500
Colorado	\$4,077,500	New York	\$76,930,000
Connecticut	\$4,077,500	North Carolina	\$5,750,000
Delaware	\$4,077,500	North Dakota	\$4,077,500
District of Columbia	\$5,750,000	Northern Marianas	\$1,000,000
Florida	\$10,566,000	Ohio	\$7,000,000
Georgia	\$5,750,000	Oklahoma	\$4,077,500
Guam	\$1,000,000	Oregon	\$4,077,500
Hawaii	\$4,077,500	Pennsylvania	\$9,200,000
Idaho	\$4,077,500	Puerto Rico	\$4,077,500
Illinois	\$15,712,000	Rhode Island	\$4,077,500
Indiana	\$4,077,500	South Carolina	\$4,077,500
Iowa	\$4,077,500	South Dakota	\$4,077,500
Kansas	\$4,077,500	Tennessee	\$4,077,500
Kentucky	\$4,077,500	Texas	\$20,591,000
Louisiana	\$4,077,500	U.S. Virgin Islands	\$1,000,000
Maine	\$4,077,500	Utah	\$4,077,500
Maryland	\$8,000,000	Vermont	\$4,077,500
Massachusetts	\$7,000,000	Virginia	\$9,200,000
Michigan	\$5,750,000	Washington	\$7,000,000
Minnesota	\$4,077,500	West Virginia	\$4,077,500
Mississippi	\$4,077,500	Wisconsin	\$4,077,500
Missouri	\$4,077,500	Wyoming	\$4,077,500
<b>Total</b>			<b>\$415,000,000</b>



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# FY 2019 Urban Area Security Initiative

Program Overview	FY 2018	FY 2019
<ul style="list-style-type: none"> <li>▪ <b>Purpose:</b> The Urban Area Security Initiative program assists high-threat, high-density Urban Areas in efforts to build, sustain, and deliver the capabilities necessary to prevent, protect against, mitigate, respond to, and recover from acts of terrorism.</li> <li>▪ <b>Eligibility:</b> The State Administrative Agency is the only entity eligible to apply for and administer FY 2019 Homeland Security Grant Program funds.</li> </ul>	\$580,000,000	\$590,000,000
Program Highlights		
<ul style="list-style-type: none"> <li>▪ The explanatory statement accompanying the FY 2019 DHS appropriations bill limits Urban Area Security Initiative funding to urban areas representing 85% of nationwide risk.</li> <li>▪ The 31 urban areas identified for FY 2019 funding represent 85% of nationwide risk according to the DHS risk formula. 32 urban areas were funded last year. <ul style="list-style-type: none"> <li>○ Based on risk, Charlotte, NC fell below the FY 2019 funding line.</li> </ul> </li> <li>▪ See key changes for SHSP (same changes apply to FY 2019 UASI)</li> </ul> <p><b><i>Threat and Hazard Identification and Risk Assessment (THIRA)/Stakeholder Preparedness Review (SPR)</i></b></p> <ul style="list-style-type: none"> <li>▪ In 2019, high-risk urban areas will be required to address all 32 core capabilities across all five mission areas (Prevention, Protection, Mitigation, Response, and Recovery) in their THIRA/SPR. Recipients will be required to submit a THIRA only once every three years, but the SPR will remain an annual requirement.</li> </ul>		

# FY 2019 UASI Allocations

State/Territory	Funded Urban Area	FY 2019 UASI Allocation
Arizona	Phoenix Area	\$4,000,000
California	Anaheim/Santa Ana Area	\$5,000,000
	Bay Area	\$27,500,000
	Los Angeles/Long Beach Area	\$68,000,000
	Riverside Area	\$3,250,000
	Sacramento Area	\$3,250,000
	San Diego Area	\$16,900,000
Colorado	Denver Area	\$3,250,000
District of Columbia	National Capital Region	\$52,750,000
Florida	Miami/Fort Lauderdale Area	\$7,000,000
	Orlando Area	\$3,250,000
	Tampa Area	\$3,250,000
Georgia	Atlanta Area	\$6,000,000
Hawaii	Honolulu Area	\$3,250,000
Illinois	Chicago Area	\$68,000,000
Maryland	Baltimore Area	\$4,000,000
Massachusetts	Boston Area	\$16,900,000
Michigan	Detroit Area	\$5,000,000
Minnesota	Twin Cities Area	\$5,000,000
Missouri	St. Louis Area	\$3,250,000
Nevada	Las Vegas Area	\$5,000,000
New Jersey	Jersey City/Newark Area	\$20,050,000
New York	New York City Area	\$178,750,000
Oregon	Portland Area	\$3,250,000
Pennsylvania	Philadelphia Area	\$16,900,000
	Pittsburgh Area	\$3,250,000
Texas	Dallas/Fort Worth/Arlington Area	\$16,900,000
	Houston Area	\$24,600,000
	San Antonio Area	\$3,250,000
Virginia	Hampton Roads Area	\$3,250,000
Washington	Seattle Area	\$6,000,000
<b>Total</b>		<b>\$590,000,000</b>



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# FY 2019 Operation Stonegarden

Program Overview	FY 2018	FY 2019
<ul style="list-style-type: none"> <li>▪ <b>Purpose:</b> Operation Stonegarden is intended to enhance cooperation and coordination among federal, state, local, tribal, and territorial law enforcement agencies in a joint mission to secure the United States borders along routes of ingress from international borders to include travel corridors in states bordering Mexico and Canada, as well as states and territories with international water borders.</li> <li>▪ <b>Eligibility:</b> Eligible applicants include local units of government at the county level and federally-recognized tribal governments in the states bordering Canada (including Alaska), southern states bordering Mexico, and states and territories with international water borders.</li> </ul>	\$85,000,000	\$90,000,000
Program Highlights		
<ul style="list-style-type: none"> <li>▪ In FY 2019, States will be permitted to retain 2.5% of funds for management and administration of the grant.</li> </ul> <p><b><u>FY 2018 Information</u></b></p> <ul style="list-style-type: none"> <li>▪ Out of 39 states that were eligible to receive FY 2018 Operation Stonegarden funding: <ul style="list-style-type: none"> <li>▪ 22 states/territories submitted applications: Alabama, Arizona, California, Florida, Idaho, Louisiana, Maine, Michigan, Minnesota, Mississippi, Montana, New Hampshire, New Mexico, New York, North Dakota, Ohio, Pennsylvania, Texas, Vermont, Washington, Puerto Rico, U.S. Virgin Islands.</li> <li>▪ 17 states/territories did not apply: Alaska, Connecticut, Delaware, Georgia, Hawaii, Maryland, Massachusetts, New Jersey, North Carolina, Oregon, Rhode Island, South Carolina, Virginia, Wisconsin, American Samoa, Guam, Northern Mariana Islands.</li> </ul> </li> <li>▪ Total number of counties funded in: <ul style="list-style-type: none"> <li>▪ <b>FY 2018: 174</b></li> <li>▪ FY 2017: 155</li> </ul> </li> </ul>		



# FY 2019 Emergency Management Performance Grant Program

Program Overview	FY 2018	FY 2019
<ul style="list-style-type: none"> <li>▪ <b>Purpose:</b> The Emergency Management Performance Grant program provides federal funds to assist state, local, tribal, and territorial governments in preparing for all hazards. The Federal Government, through Emergency Management Performance Grant, provide necessary direction, coordination, guidance, and assistance to support a comprehensive all hazards emergency preparedness system.</li> <li>▪ <b>Eligibility:</b> State government's State Administrative Agency or Emergency Management Agency are the only entities eligible to apply for and administer FY 2019 Emergency Management Performance Grant funds.</li> </ul>	\$350,100,000	\$350,100,000
Program Highlights		
<ul style="list-style-type: none"> <li>▪ All EMPG recipients will be required to develop and maintain a Distribution Management (DM) plan as an annex to their existing Emergency Operations Plan (EOP). The DM plan should be updated by recipients on an annual basis. A question in the URT under the CPG 101 assessment is added to understand if a jurisdiction has developed and incorporated a Distribution Management Plan in its EOP.               <ul style="list-style-type: none"> <li>• The DM plan should focus on the distribution of commodities and supplies such as food, water, generators and tarps to survivors following a disaster</li> <li>• The DM plan should address strategies/plans for the following:                   <ul style="list-style-type: none"> <li>○ Requirements Defining</li> <li>○ Resource Ordering</li> <li>○ Distribution Methods</li> <li>○ Inventory Management</li> <li>○ Staging areas</li> <li>○ Transportation</li> <li>○ Demobilization</li> </ul> </li> </ul> </li> </ul>		



# FY 2019 EMPG Allocations

State/Territory	Allocation	State/Territory	Allocation
Alabama	\$5,728,503	Nevada	\$4,551,655
Alaska	\$3,093,229	New Hampshire	\$3,486,269
Arizona	\$7,178,562	New Jersey	\$8,281,372
Arkansas	\$4,538,597	New Mexico	\$3,955,470
California	\$27,741,329	New York	\$15,033,121
Colorado	\$6,241,339	North Carolina	\$9,217,971
Connecticut	\$4,893,426	North Dakota	\$3,107,603
Delaware	\$3,239,095	Ohio	\$10,047,089
District of Columbia	\$3,071,016	Oklahoma	\$5,128,617
Florida	\$16,148,783	Oregon	\$5,285,849
Georgia	\$9,304,231	Pennsylvania	\$10,756,709
Hawaii	\$3,526,926	Rhode Island	\$3,296,331
Idaho	\$3,738,816	South Carolina	\$5,853,113
Illinois	\$10,714,815	South Dakota	\$3,185,166
Indiana	\$6,873,938	Tennessee	\$6,923,547
Iowa	\$4,628,961	Texas	\$20,848,936
Kansas	\$4,473,630	Utah	\$4,632,111
Kentucky	\$5,462,165	Vermont	\$3,022,662
Louisiana	\$5,583,804	Virginia	\$8,033,215
Maine	\$3,474,806	Washington	\$7,409,645
Maryland	\$6,461,761	West Virginia	\$3,771,594
Massachusetts	\$7,007,447	Wisconsin	\$6,316,264
Michigan	\$8,971,802	Wyoming	\$2,991,828
Minnesota	\$6,187,759	Puerto Rico	\$4,653,729
Mississippi	\$4,521,266	U.S. Virgin Islands	\$942,924
Missouri	\$6,514,927	American Samoa	\$907,271
Montana	\$3,299,499	Guam	\$981,525
Nebraska	\$3,849,969	Northern Mariana Islands	\$908,013
<b>Total</b>			<b>\$350,000,000</b>



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# FY 2019 Nonprofit Security Grant Program – UASI (NSGP-UA)

Program Overview	FY 2018	FY 2019
<ul style="list-style-type: none"> <li>▪ <b>Purpose:</b> The UASI Nonprofit Security Grant Program provides funding support for target hardening activities to nonprofit organizations that are at high risk of terrorist attack and located within one of the UASI-designated urban areas.</li> <li>▪ <b>Eligibility:</b> The State Administrative Agency is the only entity eligible to apply for FY 2019 UASI Nonprofit Security Grant Program funds on behalf of nonprofit organizations as described under section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such Code.</li> </ul>	\$50,000,000	\$50,000,000
Program Highlights		
<ul style="list-style-type: none"> <li>▪ For FY 2019, the maximum funding amount is decreased to \$100,000 (capped at \$150,000 in FY 2018).</li> <li>▪ Contracted Security Personnel costs are now eligible.</li> <li>▪ The bonus points for new applicants will be increased.</li> </ul> <p><b><u>FY 2018 NSGP-UA Highlights</u></b></p> <ul style="list-style-type: none"> <li>▪ 963 investments were submitted to the Federal Emergency Management Agency under NSGP-UA, requesting \$118,020,670 in Federal funding:               <ul style="list-style-type: none"> <li>▪ 100% (22 out of 22) of eligible states applied; and</li> <li>▪ 100% (32 out of the 32) FY 2018 UASI designated Urban Areas applied.</li> </ul> </li> <li>▪ NSGP-UA investments advancing to Federal Review:               <ul style="list-style-type: none"> <li>▪ 595 investments advanced to the Federal Review, requesting over \$75.5M in funding;</li> <li>▪ 382 investments were funded in FY 2018; 135 of which have not received NSGP funding in previous years.</li> </ul> </li> </ul>		

# FY 2019 Nonprofit Security Grant Program – State (NSGP-S)

Program Overview	FY 2018	FY 2019
<ul style="list-style-type: none"> <li>▪ <b>Purpose:</b> The State Nonprofit Security Grant Program provides funding support for target hardening activities to nonprofit organizations that are at high risk of terrorist attack and located within one of the UASI-designated urban areas.</li> <li>▪ <b>Eligibility:</b> The State Administrative Agency is the only entity eligible to apply for FY 2019 State Nonprofit Security Grant Program funds on behalf of nonprofit organizations as described under section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such Code.</li> </ul>	\$10,000,000	\$10,000,000
Program Highlights		
<ul style="list-style-type: none"> <li>▪ Allowable costs mirror the NSGP-UA.</li> <li>▪ The review process for NSGP-S will mirror NSGP-UA in FY 2019.</li> <li>▪ For FY 2019, the maximum funding amount will remain at \$100,000.</li> <li>▪ The bonus points for new applicants will be increased.</li> <li>▪ The scoring multiplier used in NSGP-UA will be implemented in NSGP-S in FY 19: <ul style="list-style-type: none"> <li>• By a factor of three for nonprofit groups that are at a high risk of terrorist attacks due to their ideology, beliefs, or mission;</li> <li>• By a factor of two for medical and educational institutions; and</li> <li>• By a factor of one for all other nonprofit organizations.</li> </ul> </li> </ul>		

# FY 2019 Nonprofit Security Grant Program-State Target Allocations

FY 2019 NSGP Target Allocations			
State/Territory	FY 2019 Allocation	State/Territory	FY 2019 Allocation
Alabama	\$ 200,000	Montana	\$ 150,000
Alaska	\$ 100,000	Nebraska	\$ 150,000
American Samoa	\$ 100,000	Nevada	\$ 100,000
Arizona	\$ 150,000	New Hampshire	\$ 150,000
Arkansas	\$ 150,000	New Jersey	\$ 150,000
California	\$ 300,000	New Mexico	\$ 150,000
Colorado	\$ 150,000	New York	\$ 250,000
Connecticut	\$ 200,000	North Carolina	\$ 350,000
Delaware	\$ 150,000	North Dakota	\$ 100,000
District of Columbia	\$ -	Northern Mariana Islands	\$ 100,000
Florida	\$ 300,000	Ohio	\$ 350,000
Georgia	\$ 250,000	Oklahoma	\$ 200,000
Guam	\$ 100,000	Oregon	\$ 150,000
Hawaii	\$ 100,000	Pennsylvania	\$ 250,000
Idaho	\$ 150,000	Puerto Rico	\$ 200,000
Illinois	\$ 250,000	Rhode Island	\$ 150,000
Indiana	\$ 250,000	South Carolina	\$ 200,000
Iowa	\$ 150,000	South Dakota	\$ 100,000
Kansas	\$ 150,000	Tennessee	\$ 250,000
Kentucky	\$ 200,000	Texas	\$ 400,000
Louisiana	\$ 200,000	U.S. Virgin Islands	\$ 100,000
Maine	\$ 150,000	Utah	\$ 150,000
Maryland	\$ 150,000	Vermont	\$ 100,000
Massachusetts	\$ 250,000	Virginia	\$ 200,000
Michigan	\$ 250,000	Washington	\$ 150,000
Minnesota	\$ 150,000	West Virginia	\$ 150,000
Mississippi	\$ 150,000	Wisconsin	\$ 250,000
Missouri	\$ 200,000	Wyoming	\$ 100,000
Total Allocation			\$ 10,000,000

■\*Entire district is part of Urban Area. By policy, FEMA is limiting this funding to nonprofits outside of high-risk urban areas.



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**Questions?**



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