

~~SENSITIVE SECURITY INFORMATION~~

**SPOT REFERRAL REPORT**

REV 4

Version 4.0

Revised 02/23/2009

**PART I: Behavioral Observation**

Date: \_\_\_\_\_ Time: \_\_\_\_\_

SPOT Team Member (Last, First): \_\_\_\_\_

Airport: \_\_\_\_\_ Location: \_\_\_\_\_

SPOT Team Member (Last, First): \_\_\_\_\_

Initial Observation Reported  
by: \_\_\_\_\_

SPOT Team Member (Last, First): \_\_\_\_\_

**Section 1 Environmental Baseline (Please provide a brief narrative of the environmental baseline at the time of the referral below)**

**Section 2 Observation and Behavior Analysis**

**(b)(3) 49 USC 114(r)**

SENSITIVE SECURITY INFORMATION

WARNING: THIS RECORD CONTAINS SENSITIVE SECURITY INFORMATION THAT IS CONTROLLED UNDER 49 C.F.R. PARTS 15 AND 1520. NO PART OF THIS RECORD MAY BE DISCLOSED TO PERSONS WITHOUT A "NEED TO KNOW" AS DEFINED IN 49 C.F.R. PARTS 15 AND 1520. EXCEPT WITH THE WRITTEN PERMISSION OF THE ADMINISTRATOR OF THE TRANSPORTATION SECURITY ADMINISTRATION OR THE SECRETARY OF TRANSPORTATION, UNAUTHORIZED RELEASE MAY RESULT IN CIVIL PENALTIES OR OTHER ACTION. FOR U.S. GOVERNMENT AGENCIES, PUBLIC DISCLOSURE GOVERNED BY 5 U.S.C. 552 AND 49 C.F.R. PARTS 15 AND 1520.

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**Baseline Reporting****Section 1: Environmental Baseline**

This section explains how the observed anomalous behaviors differ from the other persons' behaviors or appearance in the area where the observations are made. The environmental baseline includes the behaviors and appearances that are typical and expected at the time and location where SPOT is being conducted. Therefore, this section should only include factors that are relevant to the assessed behavior(s) and, or appearance factor(s) and subsequent referral (such as if the indicators are: arrives late for flight, excessive perspiration inconsistent with the environment, trembling, and inappropriate attire for location.)

If any indicator is evident, the BDO needs to:

- Determine if any of the below are contributing factors to the passengers observed behaviors
- Only include the factors that are directly relatable to the assessed behavior(s) and, or appearance factor(s)
- Ensure to include factors that explain why the indicators are anomalous – include contextual and relative deviations from the elements of the environmental baseline:
  - Time of day
  - Day of week
  - Weather
  - General demeanor of individuals
  - General appearance of individuals (excluding race, disability, religion, or ethnicity)
  - Physical layout of area
  - Travelers or employees
  - Schedule of events (flight delays, departures)

This section does not need to contain all of the components which are listed in *SPOT SOP 3.3*.

Example:

(b)(3) 49 USC 114(r)

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**PART I: Behavioral Observation**

Date: 10/24/2010 Time: 08:10

SPOT Team Member (Last, First): \_\_\_\_\_

Airport: SAT Location: SAT01

SPOT Team Member (Last, First): \_\_\_\_\_

Initial Observation Reported  
by: Checkpoint BDO

SPOT Team Member (Last, First): \_\_\_\_\_

**Section 1 Environmental Baseline (Please provide a brief narrative of the environmental baseline at the time of the referral below)**

At 0810 on Sunday morning temp in the 70's partly cloudy. The queue is empty with very little passenger traffic, demeanor of the passengers is calm. casual attire.

**Section 2 Observation and Behavior Analysis**

**(b)(3) 49 USC 114(r)**

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PART II: SPOT Resolution (continued)

(b)(3) 49 USC 114(r)

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Revised 02/23/2009

**PART I: Behavioral Observation**

Date: 06/16/2010 Time: 06:14

SPOT Team Member (Last, First): \_\_\_\_\_

Airport: MHT Location: CHECKPOINT B

SPOT Team Member (Last, First): \_\_\_\_\_

Initial Observation Reported  
by: Checkpoint BDO

SPOT Team Member (Last, First): \_\_\_\_\_

**Section 1 Environmental Baseline (Please provide a brief narrative of the environmental baseline at the time of the referral below)**

Steady passenger flow. Clear weather conditions with no flight delays. All checkpoints open totaling three lanes upstairs and one downstairs. Business and casual travelers traveling, calm demeanor of passengers

**Section 2 Observation and Behavior Analysis**

**(b)(3) 49 USC 114(r)**

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PART II: SPOT Resolution (continued)

(b)(3) 49 USC 114(r)

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